

The Continuous Hexachordal Theorem

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Abstract. The Hexachordal Theorem may be interpreted in terms of scales, or rhythms, or as abstract mathematics. In terms of scales it claims that the complement of a chord that uses half the pitches of a scale is homometric to—i.e., has the same interval structure as—the original chord. In terms of onsets it claims that the complement of a rhythm with the same number of beats as rests is homometric to the original rhythm. We generalize the theorem in two directions: from points on a discrete circle (the mathematical model encompassing both scales and rhythms) to a continuous domain, and simultaneously from the discrete presence or absence of a pitch/onset to a continuous strength or weight of that pitch/onset. Although this is a significant generalization of the Hexachordal Theorem, having all discrete versions as corollaries, our proof is arguably simpler than some that have appeared in the literature.

We also establish the natural analog of what is sometimes known as Patterson's second theorem: if two equal-weight rhythms are homometric, so are their complements.

1 Introduction

1.1 Basic Definitions

We are concerned with cyclic musical rhythms consisting of k *onsets* (pulses, beats) and $n-k$ *rests*, represented by n evenly spaced points on a circle, with arithmetic mod n , i.e., in the group \mathbb{Z}_n . This representation has been used as early as the 13th century, as accounted by Wright [Wri78], but it has been used recently again; see [Vuz85], [Tou05], among others. Alternately, the k onsets (points) may be considered as k pitches making up a musical chord or scale selected from a universe of n pitches [Tym06]. Such sets of points on a circle are called *cyclotomic* sets in the crystallography literature [Pat44], [Bue78]. We will emphasize the rhythms model in this paper, but all results hold equally in the pitch model or the crystallography model.

Every pair of the points on the circle determines an inter-onset duration interval (the *geodesic* between the pair of points around the circle) [Bue78]. The histogram of this multiset of distances in the context of musical scales and chords is called its *interval content* [Lew59]. Two rhythms which are congruent to each other obviously have the same interval content. Here by *congruence* we mean geometrical congruence, i.e., equivalence under rotation or reflection. However, two rhythms with the same histograms need not be congruent. Two sets of points with the same multiset of distances are said to be *homometric*, a term introduced by Patterson in 1939 [Pat44], who first discovered them. In the music literature, two pitch-class sets (or two rhythms) with the same intervallic content are termed as having the *Z*-relation or *isomeric* relation [For77].

One of the fundamental theorems in this area is the so-called *Hexachordal Theorem*, which states that complementary sets with $k=n/2$ (and n even) are homometric. Two examples are shown in Figs. 1 and 2. In Fig. 1, the $k=4$ onsets occur at $(0, 1, 4, 7)$, and the complementary rhythm has onsets precisely where the first rhythm has rests: $(2, 3, 5, 6)$. The histogram of intervals is identical.

Fig. 2 shows two complementary $(n, k)=(12, 6)$ rhythms, again with identical histograms.

An important convention we follow is that the pair of onsets separated by the diameter $d = n/2$ contributes two counts to the interval d in the histogram. This

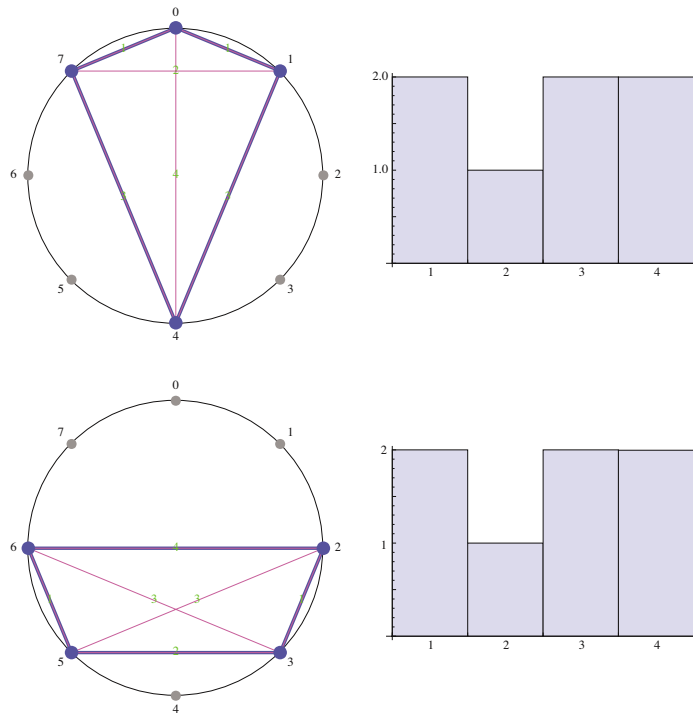


Fig. 1. Example of the Hexachordal Theorem, $(n, k)=(8, 4)$. Note that the distance $d=4$ is counted twice.

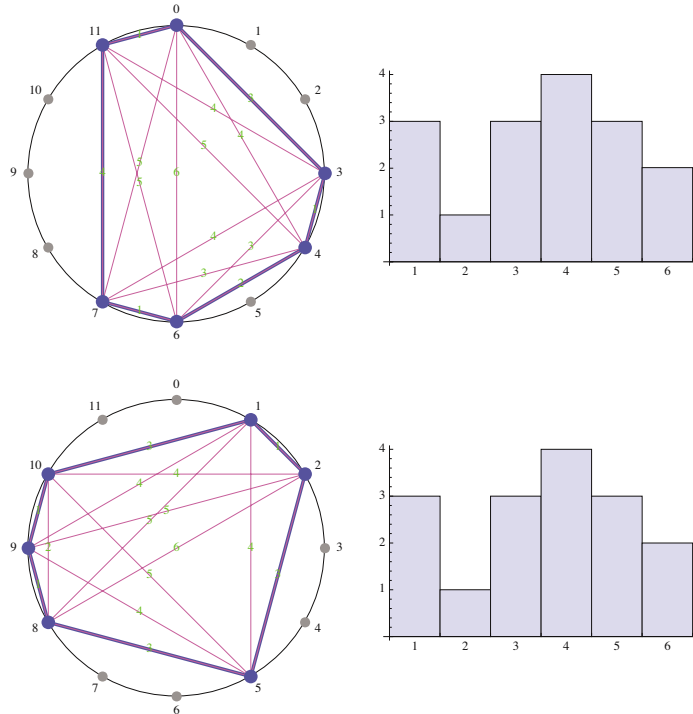


Fig. 2. Another example of the Hexachordal Theorem, $(n, k)=(12, 6)$. Note that the distance $d=6$ is counted twice.

convention simplifies the proofs but changes nothing substantively. This issue is further addressed in Section 2.5.

The term “hexachordal” derives from Schönberg’s use of 6-note chords in a 12-tone chromatic scale, and the name “hexachordal” has been retained even though the theorem holds for arbitrary even n .

1.2 History

The earliest proof of the Hexachordal Theorem in the music literature is, to our knowledge, due to Lewin. In 1959 he published a paper [Lew59] on the intervalic relations of two chords that contained an embryonic proof of the Hexachordal Theorem; such a proof was refined in a subsequent paper [Lew60]. In 1974 Regener [Reg74] found an elementary simple proof of this theorem based on the combinatorics of pitch-class sets. Many other proofs have appeared since then, often rivalling in conciseness. Short proofs can be found, for instance, in the work of Mazzola [Maz03] or Jedrzejewski [Jed06]. Amiot [Ami07] gave an elegant, short proof based on the discrete Fourier transform. Perhaps, one of the simplest proofs, in the sense of using no structures such as groups or discrete Fourier transforms, was discovered by Blau [Bla99]. His proof relies on a straight-

forward analysis of the situation in which two complementary hexachords switch two neighbouring elements.

The music theorists appear to be unaware that this theorem was known to crystallographers about thirty years earlier [Pat44]. It seems to have been proved by Patterson [Pat44] around 1940, but he did not publish a proof. In the crystallography literature the theorem is called Patterson's second theorem [Bue76]. The first published proof in the crystallography literature is due to Buerger [Bue76]; it is based on image algebra, and is non-intuitive. A much simpler and elegant elementary proof was later found by Iglesias [Igl81]. Another simple proof, purely based on geometry, has been recently discovered by Senechal [Sen08].

The Hexachordal Theorem has been generalized in various ways, for example, considering rhythms of different cardinalities; see [Lew76], [Lew87], [Igl81], [Mor90], [Sod95], [AG00] for several directions of generalization. We believe the proof we present in Sec. 2.3 below is not only simple, but also establishes a significant generalization from discrete rhythms to continuous rhythms.

1.3 Outline

We will first introduce weighted rhythms as a generalization of usual rhythms. This generalization will consist of associating certain weights to the onsets and rests of a rhythm. Next we will state and prove the Hexachordal Theorem in terms of such weighted rhythms. We will then generalize the Hexachordal Theorem to a continuous version of it, where rhythms will be considered as continuous functions on the interval $[0, 1]$. From this version we will prove again the discrete Hexachordal Theorem as a straightforward corollary of the continuous version.

2 The Continuous Hexachordal Theorem

2.1 Weighted Rhythms

In order to state our generalization of the Hexachordal Theorem, we introduce a different viewpoint. Each onset i is assigned a *weight* of $w_i = 1$, and each rest is assigned a weight of 0. Thus, the rhythm in Fig. 1 (top) has a weight signature $(1, 1, 0, 0, 1, 0, 0, 1)$. The total weight of a rhythm R is $W(R) = \sum_{i=0}^{n-1} w_i$, the number of onsets k in R . The complementary rhythm \overline{R} is obtained by complementing the weights with respect to 1: $\overline{w}_i = 1 - w_i$. Let H_R be the histogram of intervals determined by rhythm R . This records, for each possible interval distance d , the number of times it occurs in the rhythm. In Fig. 1, we have:

Height: 2 1 2 2
Distance d : 1 2 3 4

This may be viewed as a function of the interval distance d : $H_R(d)$ is the height of the histogram at distance d . With this notation, the Hexachordal Theorem may be stated as follows:

Theorem 1. *If R is a rhythm on n points, n even, and $W(R) = n/2$, then R and \overline{R} are homometric: for all distances d , $H_{\overline{R}}(d) = H_R(d)$.*

Before proceeding to the continuous domain, we need Lemma 1 below, which expresses the histogram function in terms of the weights. This lemma is known in the music literature as the “common-tone theorem” [Joh03]. See [JK06] for a proof in the context of group theory. For the sake of completeness, we include our own proof.

Lemma 1. $H_R(d) = \sum_{i=0}^{n-1} w_i w_{i+d}$.

Proof. Point i is separated by a distance d from the point at $i+d$, where we interpret addition mod n , i.e., in \mathbb{Z}_n . If both are onsets, then $w_i = w_{i+d} = 1$, and $w_i w_{i+d} = 1$. If either point is a rest, then $w_i w_{i+d} = 0$. Thus, for each fixed d , summing $w_i w_{i+d}$ over all i counts 1 for each occurrence of d .

We now argue that each pair of points realizing a distance d contributes just once to the sum. A pair $(i, i+d)$ would contribute twice if $i+2d = i$ so that $(i+d, i)$ would be counted as well. Because d is a shortest path, we have $d \leq n/2$. Thus, $i+2d \leq i+n$, and this equals i (in \mathbb{Z}_n) only when $d = n/2$ is the diameter. Our convention is indeed to count a pair realizing the diameter twice.

Consider, for example, the $n = 12$ example in Fig. 2 (top). For $d = n/2 = 6$, both $w_0 w_6$ and $w_6 w_{12} = w_6 w_0$ contribute to $H_R(6) = 2$. Indeed, the reason we follow the convention of double-counting each realization of the diameter is that it naturally fits this weight viewpoint. This point will be revisited in Section 2.5.

2.2 The Continuous Generalizations

We generalize in two directions. First, the circle of n discrete points is generalized to a continuous circle of points. We take its circumference to be 1 without loss of generality. Second, the discrete set of weights w_i is generalized to a real-number weight $f(x) \in [0, 1]$ for $x \in [0, 1]$. Here x specifies a point on the circle, measured by distance clockwise from the zero-position (conventionally at the 12 o'clock position as in Figures 1 and 2), and $f(x)$ the weight of that point. So now the total weight $W(R) = \int_0^1 f(x) dx$. Note the maximum possible total weight of any rhythm is achieved by the constant “rhythm” with weight $f(x) = 1$ for all x , in which case $W(R) = 1$.

We define the complement of a rhythm analogously to the discrete case:

Definition 1. *For each point x in rhythm R with weight $f(x)$, the corresponding point x in the complementary rhythm \overline{R} has weight $f(x) = 1 - f(x)$.*

The histogram $H_R(d)$ is generalized to a function over the domain $d \in [0, \frac{1}{2}]$. We need the continuous analog of Lemma 1. In fact, we take the analog of that lemma as the definition of the histogram in the continuous domain:

Definition 2. $H_R(d) = \int_0^1 f(x) f(x+d) dx$.

For example, if two points x and $x+d$ each have weight $\frac{1}{2}$, they contribute $\frac{1}{4}$ to the height of H_R at distance d .

2.3 Continuous Hexachordal Theorem and Proof

The Continuous Hexachordal Theorem says that for any rhythm on the continuous circle as described above, if the rhythm has weight $\frac{1}{2}$, then it is homometric to its complement. More formally, it may be stated as:

Theorem 2. *If R is a integrable rhythm on the continuous circle, and $W(R) = \frac{1}{2}$, then for all distances d , $H_{\overline{R}}(d) = H_R(d)$.*

Proof. The proof fixes d and establishes that $H_{\overline{R}}(d) = H_R(d)$. From the histogram Definition 2 we have:

$$H_{\overline{R}}(d) = \int_0^1 \overline{f(x)} \overline{f(x+d)} dx.$$

From the complement Definition 1 this is:

$$= \int_0^1 [1 - f(x)][1 - f(x+d)] dx.$$

Multiplying out terms yields:

$$= \int_0^1 (1 - f(x) - f(x+d) + f(x)f(x+d)) dx.$$

Separating integrals gives:

$$= \int_0^1 1 dx - \int_0^1 f(x) dx - \int_0^1 f(x+d) dx + \int_0^1 f(x)f(x+d) dx$$

The first integral is just 1, and the second two¹ are each $\frac{1}{2}$ by the assumption of the theorem that $W(R) = \frac{1}{2}$:

$$\begin{aligned} &= 1 - \frac{1}{2} - \frac{1}{2} + \int_0^1 f(x)f(x+d) dx \\ &= \int_0^1 f(x)f(x+d) dx \\ &= H_R(d) \end{aligned}$$

The last step again follows from the Definition 2, and so we have established that $H_{\overline{R}}(d) = H_R(d)$ for all d , i.e., the histograms are identical and R is homometric to \overline{R} .

The weight function $f(x)$ need not be a *continuous function* in the technical mathematical sense.² We only need that it be integrable,³ i.e., a function for which an appropriate “area under the function graph” may be defined.

¹ Shifting x to $x + d$ shifts the graph of $f(\)$ but does not change the area underneath it.

² A function f is *continuous* if, for all c in the domain, $\lim_{x \rightarrow c} f(x) = f(c)$.

³ For example, *Lebesgue integrable* suffices.

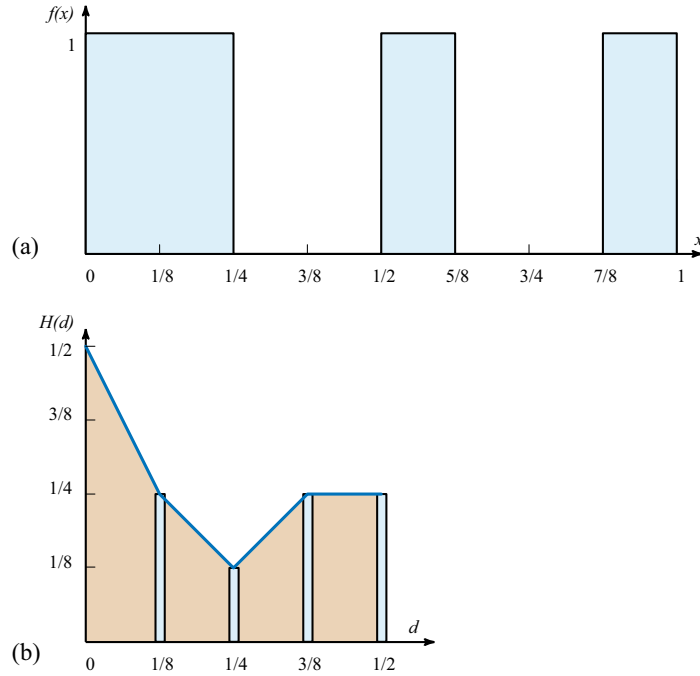


Fig. 3. (a) Weight step function $f(x)$ corresponding to Fig. 1 (top), $(n, k)=(8, 4)$. (b) Corresponding histogram integral $H(d)$.

We should note that the above proof can be directly discretized to yield a parallel proof of the Discrete Hexachordal Theorem. Instead, we show below that the freedom to use any integrable weight function renders the Discrete Hexachordal Theorem 1 an immediate corollary of the Continuous Hexachordal Theorem 2.

2.4 Discrete Theorem as Corollary

Suppose a discrete rhythm R has weights $(w_0, w_1, \dots, w_{n-1})$, with each weight either 1 or 0. Then define the *step function* $f(x) = w_i$ for $\frac{i}{n} \leq x < \frac{i+1}{n}$. For example, Fig. 3(a) shows the step function corresponding to the top rhythm in Fig. 1, whose discrete weights are $(1, 1, 0, 0, 1, 0, 0, 1)$. Note that the total weight/area is $4 \cdot \frac{1}{8} = \frac{1}{2}$, which accords with the discrete weight of $\frac{1}{2}n = \frac{1}{2}8 = 4$.

We formalize this correspondence between continuous and discrete as follows:

Corollary 1. *The Discrete Hexachordal Theorem 1 follows from the Continuous Hexachordal Theorem 2.*

Proof. We use the notation

$$\chi_A(x) = \begin{cases} 1, & \text{for all } x \in A \\ 0, & \text{otherwise} \end{cases}$$

to represent the 1/0 characteristic function of a set A .

We convert the discrete rhythm $(w_0, w_1, \dots, w_{n-1})$ into the continuous rhythm

$$f(x) = \sum_{i=0}^{n-1} \left(w_i \cdot \chi_{\left[\frac{i}{n}, \frac{i+1}{n}\right)} \right).$$

This has the feature, mentioned above, that for all $x \in \left[\frac{i}{n}, \frac{i+1}{n}\right)$, we have $f(x) = w_i$.

Because of the horizontal compression involved in this conversion, the discrete histogram contribution $H_R(d) = \sum_{i=0}^{n-1} w_i w_{i+d}$ corresponds to the continuous histogram contribution

$$\begin{aligned} H_R\left(\frac{d}{n}\right) &= \int_0^1 f(x) f\left(x + \frac{d}{n}\right) dx \\ &= \int_0^1 \left[\sum_{i=0}^{n-1} \left(w_i \cdot \chi_{\left[\frac{i}{n}, \frac{i+1}{n}\right)} \right) \right] f\left(x + \frac{d}{n}\right) dx \\ &= \sum_{i=0}^{n-1} \left[w_i \int_0^1 \chi_{\left[\frac{i}{n}, \frac{i+1}{n}\right)} \cdot f\left(x + \frac{d}{n}\right) dx \right] \\ &= \sum_{i=0}^{n-1} \left[w_i \int_{\frac{i}{n}}^{\frac{i+1}{n}} f\left(x + \frac{d}{n}\right) dx \right] \\ &= \sum_{i=0}^{n-1} \left[w_i \int_{\frac{i+d}{n}}^{\frac{i+d+1}{n}} f(x) dx \right] \\ &= \sum_{i=0}^{n-1} \left[w_i \int_{\frac{i+d}{n}}^{\frac{i+d+1}{n}} w_{i+d} dx \right] \\ &= \frac{1}{n} \sum_{i=0}^{n-1} w_i w_{i+d} \end{aligned}$$

So, the continuous histogram is proportional to the discrete histogram at integral values of d (see Fig. 3(b)), and the conclusion of the Continuous Hexachordal Theorem 2 that R is homometric to \overline{R} implies the same in the discrete case, which is precisely the claim of the Discrete Hexachordal Theorem 1.

2.5 Double-Counting Diameter Intervals

We return to the issue of double-counting an interval that equals the diameter ($d = n/2$ in the discrete case or $d = \frac{1}{2}$ in the continuous case) in the histogram $H_R(d)$. In music the diameter in the case of an equal-temperament

scale corresponds to a tritone. Recall from Definition 2 that the continuous histogram is defined by the equation $H_R(d) = \int_0^1 f(x)f(x+d) dx$. Applying this for $d = \frac{1}{2}$ to the step function $f(x)$ in Figure 3 results in

$$H_R\left(\frac{1}{2}\right) = \int_0^1 f(x)f\left(x + \frac{1}{2}\right) dx.$$

When $x \in [0, \frac{1}{8})$, the product $f(x)f(x + \frac{1}{2})$ is 1. And also when $x \in [\frac{1}{2}, \frac{5}{8})$, the product is again 1, because $x + \frac{1}{2}$ wraps around to $[0, \frac{1}{8})$. For all other x , the product is 0. So $H_R(\frac{1}{2}) = 2 \cdot \frac{1}{8} = \frac{1}{4}$, which corresponds to the height 2 for $d = 4$ in the discrete case in Figure 1. Thus, the continuous histogram analog also “double-counts” the diameter $d = \frac{1}{2}$.

Moreover, we can see that this is the natural definition, by considering $d = \frac{1}{2} - \varepsilon$ for some small $\varepsilon > 0$. The same integral leads to $H_R(\frac{1}{2} - \varepsilon) = 2(\frac{1}{8} - \varepsilon)$ which goes to $\frac{1}{4}$ as $\varepsilon \rightarrow 0$. Thus, the height $H_R(\frac{1}{2})$ is consistent with the limit for $d < \frac{1}{2}$. Stipulating that $d = \frac{1}{2}$ should be treated specially would destroy this natural correspondence.

2.6 Patterson’s First Theorem

Patterson’s first Theorem [Pat44] goes beyond the $k = n/2$ precondition of the Discrete Hexachordal Theorem 1. It may be stated as: two homometric (n, k) -rhythms have homometric complements. In our continuous generalizations, two rhythms with the same number k of onsets have the same weight. So the generalization is:

Theorem 3. *If R_1 and R_2 are two integrable rhythms on the continuous circle with equal weights, $W(R_1) = W(R_2)$, and they are homometric, i.e., for all distances d , $H_{R_1}(d) = H_{R_2}(d)$, then their complements are homometric: $H_{\overline{R_1}}(d) = H_{\overline{R_2}}(d)$.*

Proof. Let the weight function of R_1 be $f(x)$ and that of R_2 be $g(x)$. Fix a distance d . We compute $H_{\overline{R_1}}(d)$ and show it is equal to $H_{\overline{R_2}}(d)$. From Definitions 2 and 1, we have

$$\begin{aligned} H_{\overline{R_1}}(d) &= \int_0^1 \overline{f(x)f(x+d)} dx \\ &= \int_0^1 (1 - f(x))(1 - f(x+d)) dx \end{aligned}$$

Multiplying out terms and separating integrals yields

$$\begin{aligned} &= \int_0^1 1 dx - 2 \int_0^1 f(x) dx + \int_0^1 f(x)f(x+d) dx \\ &= 1 - 2 \int_0^1 f(x) dx + \int_0^1 f(x)f(x+d) dx \end{aligned}$$

Now, because $W(R_1) = W(R_2)$, we have $\int_0^1 f(x)dx = \int_0^1 g(x)dx$, and because R_1 and R_2 are homometric, we have $\int_0^1 f(x)f(x+d)dx = \int_0^1 g(x)g(x+d)dx$:

$$= 1 - 2 \int_0^1 g(x) dx + \int_0^1 g(x)g(x+d) dx$$

However, we know, by the same reasoning, that this expression is

$$= \int_0^1 \overline{g(x)g(x+c)} dx$$

And we have therefore established that the complementary rhythms are homometric:

$$\int_0^1 \overline{f(x)f(x+d)} dx = \int_0^1 \overline{g(x)g(x+d)} dx$$

$$H_{\overline{R_1}}(d) = H_{\overline{R_2}}(d)$$

3 Open Problems

Our results may be interpreted in terms of *polyphonic rhythms*, in which several instruments are linearly combined [OTT08]. For instance, to model three identical drums playing together, interpret the weight $f(x) = \frac{1}{3}$ to mean that one drum is struck on a particular beat, while the weight $f(x) = 1$ would mean all three are struck. It would be interesting to explore whether homometric polyphonic rhythms have a musical significance.

We know that two sets of points with different cardinalities and different weights may be homometric, but we neither understand the constraints here mathematically nor know if there is any musical interpretation of such sets.

Theorem 2 generalizes to weights in $[0, 1]$ on a sphere, with distances measured by geodesics, and with $W(R) = \frac{1}{2}$ corresponding to the integral over a hemisphere equalling $\frac{1}{2}$. The discrete analog is “distance regular” points on a sphere, e.g., the vertices of a Platonic solid. Is there any musical analog for spheres in any dimension?

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