

# The Transport Layer: TCP & Reliable Data Transfer

Smith College, CSC 249  
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## Chapter 3: Transport Layer

- TCP Transport layer services:
  - ❖ Multiplexing/demultiplexing
  - ❖ Connection management
  - ❖ Reliable data transfer
    - SEQ and ACK numbers
  - ❖ Congestion control
  - ❖ Flow control

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# TCP Connection Management: Set up

Recall: TCP senders and receivers establish a “connection” before exchanging data segments

## Three way handshake:

Step 1: client host sends TCP SYN segment to server

- ❖ “SYN” for “synchronize” (set SYN bit to 1)
- ❖ Specifies (random) initial sequence #
- ❖ No data is sent

Step 2: server host receives SYN, replies with SYNACK segment

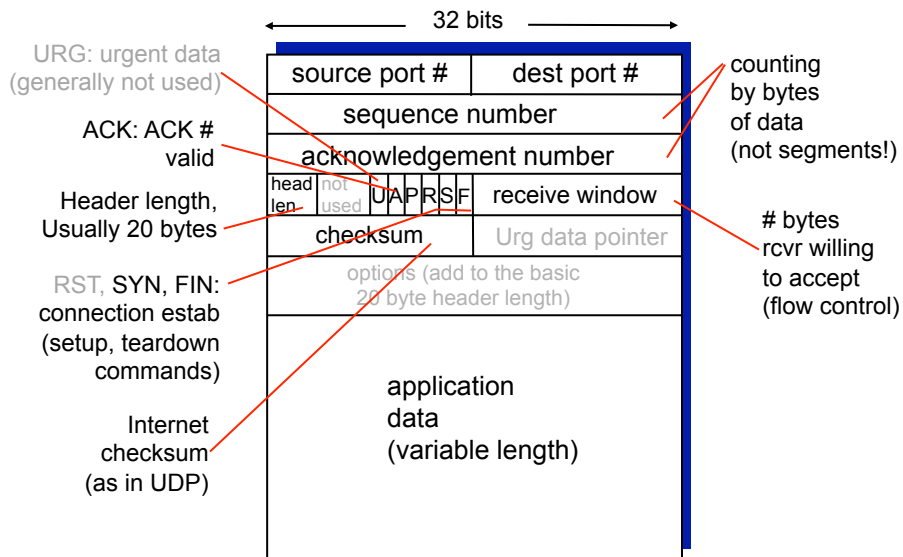
- ❖ Set both SYN and ACK bits to 1
- ❖ Server allocates buffers and variables
- ❖ Specifies its own, server initial sequence #

Step 3: client receives SYNACK, replies with ACK segment

- ❖ Client allocates buffers and variables
- ❖ This packet may contain data

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# TCP segment structure



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## TCP Connection Management: Set up

### Set Up:

**Step 1:** client sends TCP SYN segment

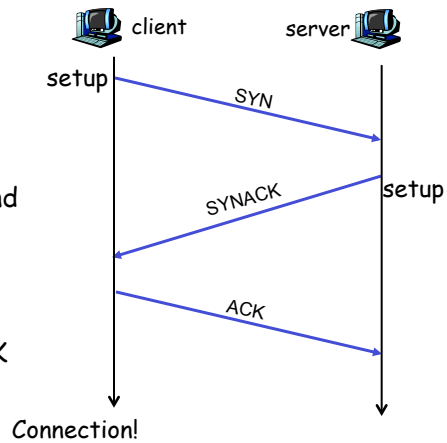
- Actions at self?
- Sends data?

**Step 2:** server receives SYN and replies with SYNACK

- Actions at self?
- Sends data?

**Step 3:** client receives SYNACK and replies with ACK

- Actions at self?
- Sends data?



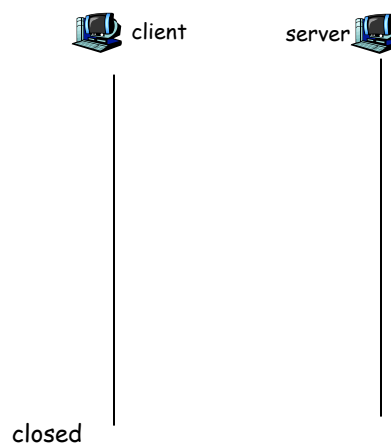
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## TCP Connection Management: Close

### Closing a connection:

How many steps?

What are they?



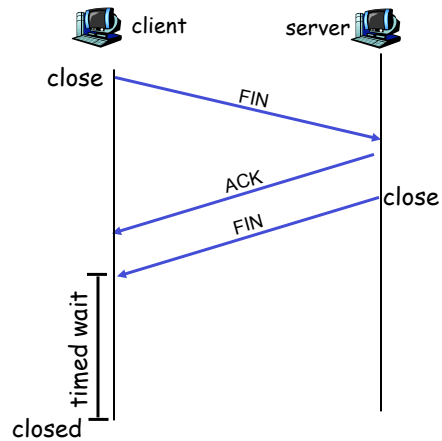
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## TCP Connection Management: Close

### Closing a connection:

**Step 1:** client end system sends TCP FIN control segment to server

**Step 2:** server receives FIN, replies with ACK. Closes connection, sends FIN.



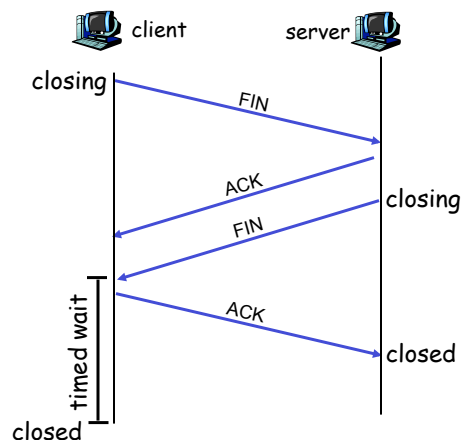
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## TCP Connection Management (cont.)

**Step 3:** client receives FIN, replies with ACK.

- ❖ Enters "timed wait" - client able to resend final ACK in case it is lost
- ❖ Why??

**Step 4:** server, receives ACK. Connection closed.



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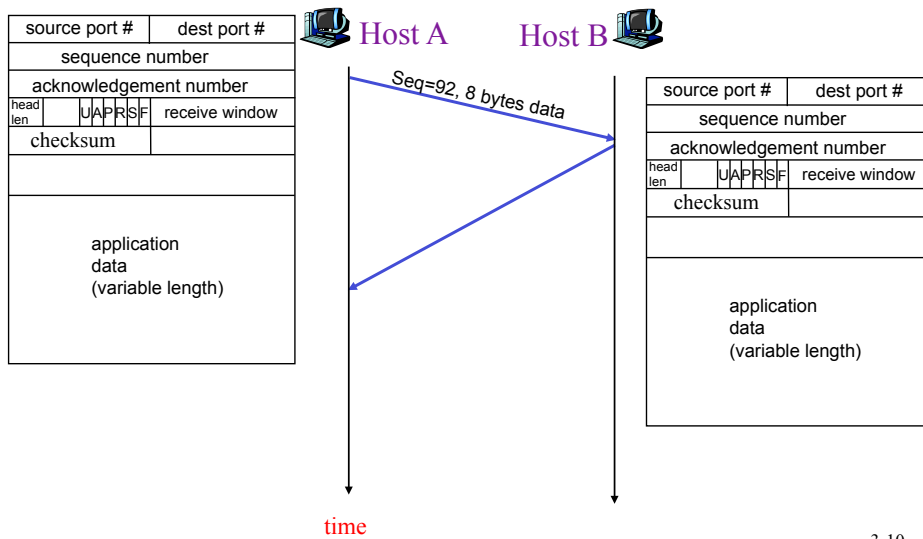
## TCP possible sender events:

### (1) Data received from application:

1. Create a segment and assign a SEQ number
  - ❖ SEQ # is byte-stream number of first data byte in segment
2. Start timer if it is not already running
  - ❖ Timer is for the oldest un-acked segment
  - ❖ Expiration interval: `TimeoutInterval`

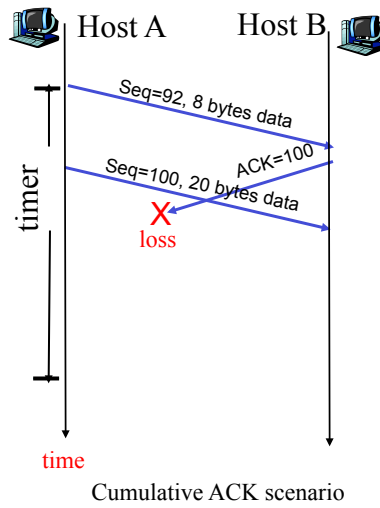
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## TCP: SEQ and ACK numbers



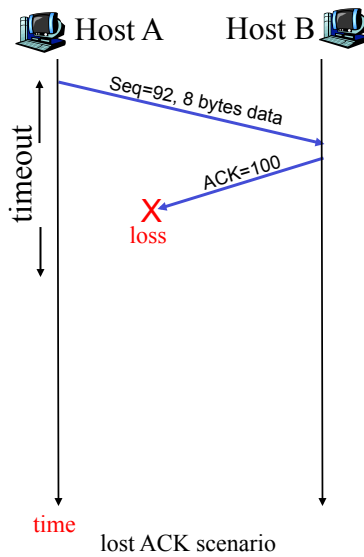
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## TCP: Cumulative ACK



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## TCP: retransmission from timeout



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## TCP possible sender events:

### (1) Data received from application:

1. Create a segment and assign a SEQ number
  - ❖ SEQ # is byte-stream number of first data byte in segment
2. Start timer if it is not already running
  - ❖ Timer is for the oldest un-acked segment
  - ❖ Expiration interval: `TimeOutInterval`

### (2) Timeout (ACK not received):

1. Retransmit segment that caused the timeout
2. Restart the timer

### (3) ACK received for previously unacked segments

1. Update what is known to be acked
2. Start timer if there are outstanding segments

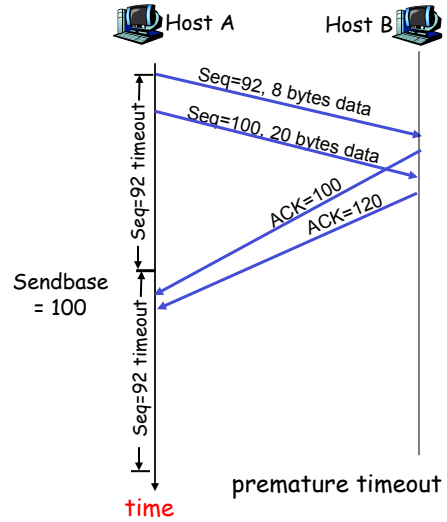
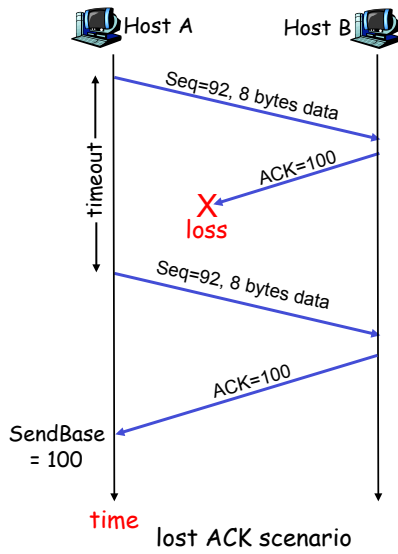
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## Students' as Transport Layer...

- ❑ Working in pairs, send Haiku to each other
  - ❖ Let each letter and space represent one byte
- ❑ Using blank TCP segments
  - ❖ Define and use ACK and SEQ numbers for sending the segments
- ❑ Once we see the time involved for our person-transport-layer, define an amount of time for a timer, and have some timeout events
- ❑ Communicate with your sending/receiving pairs to be able to dramatize TCP successfully

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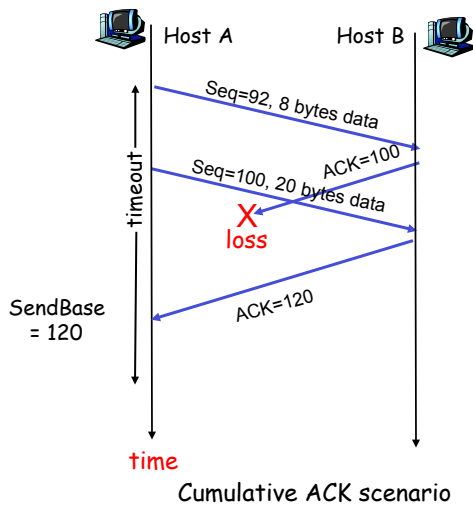
## TCP: retransmission scenarios



- 1) What is/was 'A's next step?
- 2) What does 'B' then do?

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## TCP retransmission scenarios (more)

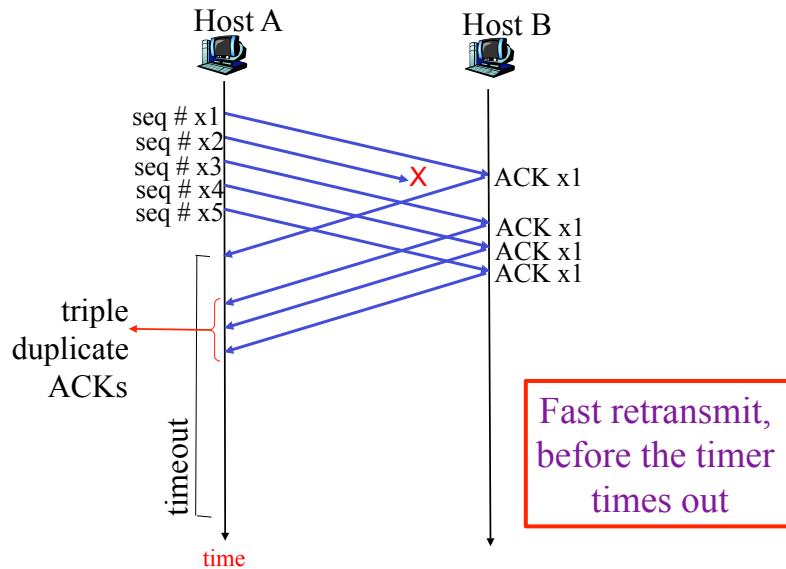


What does 'A' do next?

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What does 'A' do next, and when does it do it?



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## Discussion Question 1

- Suppose Host A sends two TCP segments back to back to Host B over a TCP connection.
  - ❖ What might be the first sequence number?
  - ❖ If 20 bytes are sent, what is the second sequence number?
  - ❖ Suppose that the first segment is lost but the second segment arrives at B. In the acknowledgment that Host B sends to Host A, what will be the acknowledgment number?

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## Discussion Question 2

- Consider a reliable protocol that uses only NAKs (no unnecessary ACKs, since most often, things work well!) Suppose the sender sends data infrequently. Would a NAK-only protocol be preferable to a protocol that uses ACKs? Why?

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## Discussion Question 3

- Now suppose the sender has a lot of data to send and the end-to-end connection experiences few losses. In this second case, would a NAK-only protocol be preferable to a protocol that uses ACKs? Why?

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## Discussion Question 4: Why Wait for 3 Duplicate ACKs before retransmission?

- Why did the TCP designers choose to have TCP wait until it has received **three** duplicate ACKs before performing a fast retransmit, rather than performing a fast retransmit after the first duplicate ACK for a segment is received?

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## Discussion Question 4: Why Wait for 3 Duplicate ACKs?

- Suppose packets  $n$ ,  $n+1$ , and  $n+2$  are sent, and that packet  $n$  is received and ACKed.
- **2-duplicate ACK policy:** If packets  $n+1$  and  $n+2$  are reordered along the end-to-end-path then the receipt of packet  $n+2$  will generate a duplicate ACK for  $n$  and would trigger a retransmission.
- **3 duplicate ACK scheme:** **Trades-off waiting for more packets (rather than just 1) to avoid retransmitting prematurely** in the face of packet reordering.
  - ❖ This policy could slow things down of course if packet  $n+1$  is lost rather than reordered.

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## Summary

- ❑ Multiplexing and demultiplexing
- ❑ Error checking - checksum
- ❑ **Connection Management**
  - ❖ SYN and SYNACK packets
- ❑ TCP segment header format
- ❑ **Reliable data transfer**
  - ❖ Sequence and acknowledgement numbers
  - ❖ Discuss use of "NAK"
  - ❖ Discuss the purpose of 3 duplicate ACKs