

# Super Guarding and Dark Rays in Art Galleries

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## Abstract

We explore an Art Gallery variant where each point of a polygon must be seen by  $k$  guards, and guards cannot see through other guards. Surprisingly, even covering convex polygons under this variant is not straightforward. For example, covering every point in a triangle  $k=4$  times (a **4-cover**) requires 5 guards, and achieving a 10-cover requires 12 guards. Our main result is tight bounds on  $k$ -covering a convex polygon of  $n$  vertices, for all  $k$  and  $n$ . The proofs of both upper and lower bounds are nontrivial. We also obtain bounds for simple polygons, leaving tight bounds an open problem.

## 1 Introduction

The original Art Gallery Theorem showed that  $\lfloor n/3 \rfloor$  guards are sometimes necessary and always sufficient to guard a simple polygon  $P$  of  $n$  vertices [O’R87]. (Throughout,  $P$  includes its boundary  $\partial P$ .) There have been many interesting variants explored since then. In this paper we explore two variants that are interesting in combination, although not individually.

- (1) *Guards blocking guards*: Suppose guards cannot see through other guards.<sup>1</sup> More precisely, if  $g_1$  and  $g_2$  are guards, and  $g_1, g_2, p$  are on a line in that order, then point  $p$  is not visible from  $g_1$ . Still the original bound  $\lfloor n/3 \rfloor$  holds, because  $g_2$  can continue  $g_1$ ’s line-of-sight to  $p$ , picking it up where that line-of-sight terminates at  $g_2$ .
- (2) *Multiple coverage*: Suppose every point in the closed polygon must be seen by  $k$  guards i.e., the guards must  **$k$ -cover** the polygon. The problem of  $k$ -guarding has been explored under various restrictions on guard location [BBC<sup>+</sup>94, Sal09, BEK13].

\*Artificial first author to highlight that the other authors (in alphabetical order) worked as an equal group. Please include all authors (including this one) in your bibliography, and refer to the authors as “MIT CompGeom Group” (without “et al.”).

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<sup>1</sup>This was posed as an exercise in [DO11], Exercise 1.28, p. 14.

If multiple guards can be co-located at the same point, then this is trivial. If co-location is disallowed, but guards can see through other guards, then this still reduces to the case  $k = 1$  since we can replace a single guard by a cluster of  $k$  guards. (We detail the argument in Section 4.)

So neither of these variations is “interesting” by itself in the sense that easy arguments lead to  $\lfloor n/3 \rfloor$  bounds. However, consider now mixing these two variants:

**Q:** How many guards are necessary and sufficient to cover a simple polygon  $P$  of  $n$  vertices so that every point of  $P$  is seen by at least  $k$  guards, where guards cannot be co-located, and each guard blocks lines-of-sight through it?

To our surprise, answering **Q** is not straightforward, even for convex polygons, even for triangles. For example, to cover a triangle to depth  $k = 3$ , one guard at each vertex suffices. Note here we consider a guard to see itself. But to cover to depth  $k = 4$  requires  $g = 5$  guards; see Fig. 9. And covering to depth  $k = 10$  requires  $g = 12$  guards.

The main result of this paper is the following theorem. We use  $n$  for the number of vertices,  $k$  for the depth of cover, and  $g$  for the number of guards.

**Theorem 1** *For a closed convex  $n$ -gon, coverage to depth  $k$  requires  $g \in \{k, k + 1, k + 2\}$  guards:*

- (1) *For  $k \leq n$ :  $g = k$  guards are necessary and sufficient.*
- (2) *For  $n < k < 4n - 2$ :  $g = k + 1$  guards are necessary and sufficient.*
- (3) *For  $4n - 2 \leq k$ :  $g = k + 2$  guards are necessary and sufficient.*

Thus there are three regimes depending on the relationship between  $n$  and  $k$ . For triangles,  $n = 3$ , the following table details those regimes:

$k$	1	2	3	4	5	6	7	8	9	10	11	...
$g$	1	2	3	5	6	7	8	9	10	12	13	...

Another example: For  $n = 4$ ,  $g = 14$  guards 13-cover, but a 14-cover requires  $g = 16$  guards. See ahead to Fig. 10.

Our primary focus is proving Theorem 1. We also obtain in Lemma 8 tight bounds for a convex wedge, which can be viewed as a 2-sided unbounded convex polygon. Finally, we briefly address simple polygons in Theorem 7, which we do not consider as natural a fit as the question for convex polygons.

## 1.1 Dark Rays and Dark Points

With some abuse of notation, we will identify both a guard and that guard's location as  $g_i$ . Let  $g_1$  and  $g_2$  be two guards visible to one another. We say that  $g_2$  **generates a dark ray at**  $g_1$ , which is a ray contained in the line through  $g_1$  and  $g_2$ , incident to and rooted at  $g_1$  and invisible to  $g_2$ . And similarly,  $g_1$  generates a dark ray at  $g_2$ .

A point is called **dark** if it is contained in a dark ray, and  **$d$ -dark** if it is contained in at least  $d$  dark rays.

Because a  $d$ -dark point is hidden from  $d$  guards, we obtain an immediate relationship between dark rays and multiple guarding for convex polygons.

### Observation 1

- (1)  $k$ -guarding with  $g = k$  guards is possible if and only if there is no dark point inside  $P$ , i.e., all dark rays are strictly exterior to  $P$ .
- (2)  $k$ -guarding with  $g = k + 1$  guards is possible if and only if there is no 2-dark point inside  $P$ .
- (3)  $k$ -guarding with  $g = k + 2$  guards is always possible because we can perturb the guards to avoid 3-dark points, as justified in Appendix A.4.

## 1.2 Outline of Proof of Theorem 1

Most steps of the proof follow directly from Observation 1, with the exception of the following non-trivial result.

**Theorem 2** *The maximum number of guards that can be placed in a convex  $n$ -gon  $P$  without creating 2-dark points in  $P$  is  $4n - 2$ .*

We prove the upper bound (at most  $4n - 2$  guards) in Section 2 and the lower bound ( $4n - 2$  is possible) by a direct construction in Section 3. Both directions are non-trivial, and their proofs constitute the main focus of the paper. Assuming these results, the proof of Theorem 1 proceeds as follows:

To  $k$ -cover when  $k \leq n$  (regime (1)) it is clear that  $k$  guards are necessary. For sufficiency, place  $k$  guards at vertices of polygon  $P$ . Then all dark rays are exterior to  $P$ , so by Observation 1(1), this is a  $k$ -cover.

To  $k$ -cover when  $n < k < 4n - 2$  (regime (2)) the necessity of  $k + 1$  guards follows from Lemma 9 (Appendix A.2) where we show that any placement of  $n + 1$  guards in a convex  $P$  results in a dark point inside  $P$ . Sufficiency is proved by Observation 1(2) (that we only need to avoid 2-dark points) and the lower bound of Theorem 2 (that we can place  $k + 1$  points without creating 2-dark points), since  $k + 1 \leq 4n - 2$ .

To  $k$ -cover when  $4n - 2 \leq k$  (regime (3)) the sufficiency of  $k + 2$  guards follows from Observation 1(3). Necessity is proved by the upper bound of Theorem 2.

## 2 $4n - 2$ Upper Bound

In this section we prove that at most  $4n - 2$  guards can be placed in a convex  $n$ -gon  $P$  without creating 2-dark points in  $P$ .

### 2.1 Triangle Lemma

The following lemma is a key tool in the proof of the upper bound. It establishes that, excluding the exceptional case, any triangle of guards in  $P$  may only contain one additional guard if we are to avoid 2-dark points in  $T$ .

**Lemma 3 (Triangle)** *Suppose some guards are placed in  $P$  without creating 2-dark points. Let  $T$  be a closed triangle in  $P$  with guards  $g_1, g_2, g_3$  at its corners. Then, with one exception,  $T$  contains at most one more guard.*

*The exceptional case allows two guards,  $g_4, g_5$ , in  $T$  when (up to relabelling)  $g_1g_3$  is an edge of  $P$ ,  $g_4$  lies on that edge, and  $g_2, g_5, g_4$  are collinear.*

**Proof.** Refer to Fig. 1(a,b) throughout. We first discuss the non-exceptional case. First suppose that there is an extra guard  $g_4$  strictly interior to  $T$ . Then  $g_1, g_2, g_3$  generate 3 dark rays at  $g_4$ , each of which crosses a different edge of  $T$ . The same would be true for a second strictly interior guard  $g_5$ . So a dark ray at  $g_5$  must cross a dark ray at  $g_4$  to reach an edge of  $T$ . The result is a 2-dark point, marked  $x$  in (a) of the figure. Since we assumed no 2-dark points in  $P$ , there cannot be two extra guards interior to  $T$ .

Suppose now that  $g_4$  lies on edge  $e = g_1g_3$  of  $T$ . Then left and right of  $g_4$  on  $e$  are dark rays generated by  $g_1$  and  $g_3$ . Placing  $g_5$  at any point not collinear with  $g_4$  and  $g_2$  leads to a dark ray at  $g_5$ , generated by  $g_2$ , crossing  $e$  to form a 2-dark point there.

We are left with the exceptional case, illustrated in (b) of the figure:  $g_4$  lies on an edge of  $T$ , and  $g_5$  is collinear with  $g_4$  and the opposite corner of the triangle,  $g_2$  in the case illustrated. There are no 2-dark points inside  $T$ . The dark ray at  $g_5$  generated by  $g_2$  contains the dark ray at  $g_4$  generated by  $g_5$  so, to avoid 2-dark points inside  $P$ ,  $g_4$  must be on the boundary of  $P$ . By the same argument,  $g_1$  and  $g_3$  must be vertices of  $P$ .  $\square$

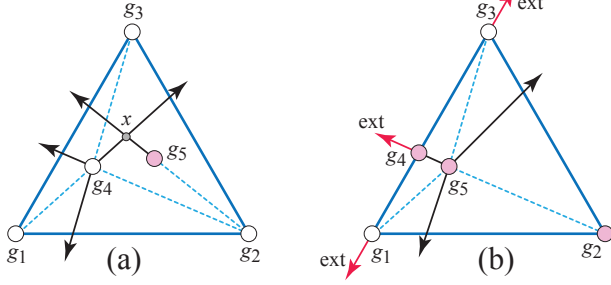


Figure 1: In this and following figures, guards are indicated by hollow circles. (a) Generic placements of  $g_4, g_5$  produce a 2-dark point  $x$ . (b) The exceptional case, with dark rays exterior to  $P$ .

We now sketch the main idea of the  $4n - 2$  upper bound. Consider a placement of guards in  $P$  such that there are no 2-dark points in  $P$ . Our goal is to prove that there are at most  $4n - 2$  guards. Let  $C$  be the convex hull of the guards. We will show in Lemma 4 that the number of guards on  $\partial C$ , not counting collinear guards interior to  $P$ , is at most  $2n$ . Triangulating  $C$  leads to at most  $2n - 2$  triangles. Lemma 3 then shows that there is at most one extra guard inside each triangle, which leads to the  $4n - 2$  upper bound. To make this rigorous, we must take into account collinear guards and the exceptional case of Lemma 3.

We first shrink  $P$  so that it maximally touches  $C$ , as follows. Move each edge of  $P$  parallel to itself toward the interior until it hits a guard. If an edge  $e$  only has a guard at one endpoint, then rotate  $e$  about that endpoint toward the interior until it hits another guard. The reduced polygon contains all the guards, has no 2-dark point, and has at most  $n$  vertices, so it suffices to prove the bound on the number of guards for the reduced polygon. Henceforth we assume every edge of  $P$  has either one or more guards in its interior, or a guard at its endpoint (or at both endpoints).

The proof requires careful handling of collinear guards: a guard is called *collinear* if it lies on a line between two other guards.

Define  $G^*$  as the set of guards on  $\partial C$ , but excluding those guards that are collinear and not on  $\partial P$ . So collinear guards on  $\partial P$  are in  $G^*$ , but collinear guards on  $\partial C$  and internal to  $P$  are excluded from  $G^*$ . See Fig. 2. Equivalently,  $G^*$  consists of the guards on  $\partial P$  together with any guard that is a corner of  $C$  in the interior of  $P$ . Define  $g^* = |G^*|$ . This is the key count that is needed to complete the upper-bound proof.

**Lemma 4** *The number of guards  $g^*$  as defined above is at most  $2n$ .*

**Proof.** Let  $g^P$  be the number of guards on  $\partial P$  and let  $c$  be the number of guards that are corners of  $C$  in the

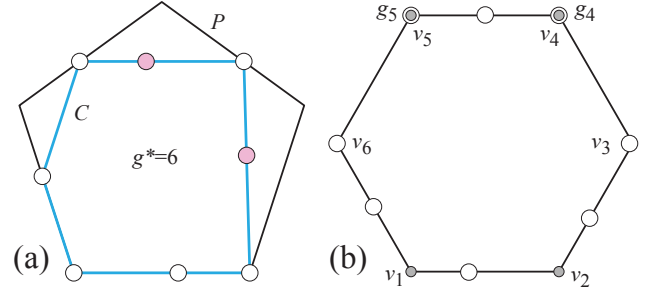


Figure 2: (a) The two pink guards are not included in  $g^* = |G^*|$ . (b)  $v_1, v_2$  are darkened but have no guard;  $g_4, g_5$  are both guards and darkened vertices. So  $d = 4$  and  $g^P = n + \frac{1}{2}d = 8$ .

interior of  $P$ . As noted above,  $g^* = g^P + c$ . We will bound  $g^P$  and  $c$  separately. Both bounds are in terms of the number of darkened vertices, where a vertex  $v$  of  $P$  is *darkened* if guards on  $\partial P$  generate a dark ray through  $v$ .

We first bound  $g^P$ . The constraint that limits  $g^P$  is that a vertex  $v$  cannot be darkened from both incident edges, as that would render  $v$  a 2-dark point.

The idea is to count guards and darkened vertices per edge. A guard internal to an edge counts towards the edge, and a vertex guard counts half towards each incident edge. More precisely, for an edge  $e$ , let  $g(e)$  be the number of guards internal to  $e$  plus half the number of vertex guards on  $e$ . Then  $g^P = \sum_e g(e)$ .

Fig. 3 shows the possibilities:  $g(e) = 2$ , either from two internal guards, or one internal guard and two endpoint guards;  $g(e) = 1\frac{1}{2}$  from one endpoint guard and one internal guard; or  $g(e) = 1$  from one internal guard or two endpoint guards.

These are the only possibilities: (a) An edge cannot have four or more guards, as then the extreme points would be at least 2-dark. (b) And an edge can only have three guards when two are at the endpoints of the edge: an endpoint without a guard would be rendered 2-dark by the three guards on the edge. (c) An edge cannot have just a guard at one endpoint, because the shrinking procedure would rotate that edge about the endpoint until it hit another guard.

Next we observe from Fig. 3 a relationship between  $g(e)$  and  $d(e)$ , the number of dark rays on edge  $e$  generated by guards on  $e$ : if  $g(e) = 2$  then  $d(e) = 2$ ; if  $g(e) = 1\frac{1}{2}$  then  $d(e) = 1$ ; and if  $g(e) = 1$  then  $d(e) = 0$ . Equivalently,  $d(e) = 2(g(e) - 1)$ .

Finally, we note that  $d$ , the number of darkened vertices, is  $\sum_e d(e)$ , since each dark ray on  $e$  darkens an endpoint of  $e$ , and no vertex can be darkened from both incident edges.

Putting these together,

$$d = \sum_e d(e) = \sum_e 2(g(e)-1) = 2 \sum_e g(e) - 2n = 2g^P - 2n$$

which gives  $g^P = n + \frac{1}{2}d$ . For example, for even  $n$ , placing a guard at every vertex and a guard in the interior of every other edge darkens every vertex, so  $g^P = \frac{3}{2}n$ .

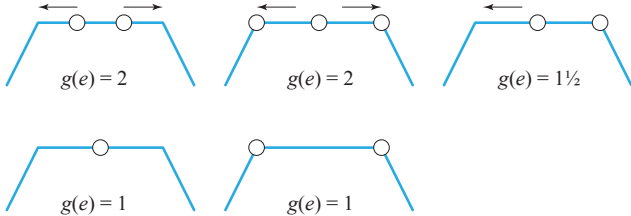


Figure 3: Edge counts. Arrows indicate darkened vertices.

We next bound  $c$ , the number of guards **strictly** internal to  $P$  that are corners of  $C$ . Let  $g_0$  be such a corner guard. Moving left and right on  $C$ , let  $g_1$  and  $g_2$  be the first guards that are on  $\partial P$ , say on edges  $e_1$  and  $e_2$ . Note that there cannot be another vertex of  $C$  internal to  $P$  between  $g_1$  and  $g_2$ , as then two dark rays would cross inside  $P$ : see Fig. 4(a). Also note that  $g_0$  is not collinear with  $g_1$  and  $g_2$ , because we are counting  $g^*$ , which excludes collinear guards on  $C$ . Since every edge has a guard, edges  $e_1$  and  $e_2$  must be incident at a vertex  $v$  of  $P$ , and  $v$  has no guard (because otherwise  $g_0$  would be internal to  $C$ ). The dark rays incident to  $g_0$  from  $g_1$  and  $g_2$  cross  $e_1$  and  $e_2$  as shown in Fig. 4(b). So  $v$  cannot be darkened by the guards on  $e_1$  or  $e_2$  otherwise again two dark rays would cross.

Thus each guard  $g_0$  counted in  $c$  corresponds to a non-darkened vertex, so  $c \leq n - d$ .

In total,

$$g^* = g^P + c \leq n + \frac{1}{2}d + (n - d) = 2n - \frac{1}{2}d \leq 2n.$$

Equality is achieved when there is one guard internal to each edge, and one guard inside  $P$  between each consecutive pair, and no collinear guards nor darkened vertices of  $P$ . See Fig. 4(c).  $\square$

**Theorem 5** *The number of guards  $g$  that can be placed in a convex  $n$ -gon so that no two dark rays intersect inside is at most  $g = 4n - 2$ .*

**Proof.** Consider a placement of guards inside  $P$  that avoids 2-dark points. We use  $G^*$  and  $g^*$  as defined above. By Lemma 4,  $g^* \leq 2n$ . Triangulate the guards in  $G^*$ . By definition of  $G^*$ , this includes collinear guards on  $\partial P$  but excludes collinear guards internal to  $P$ .

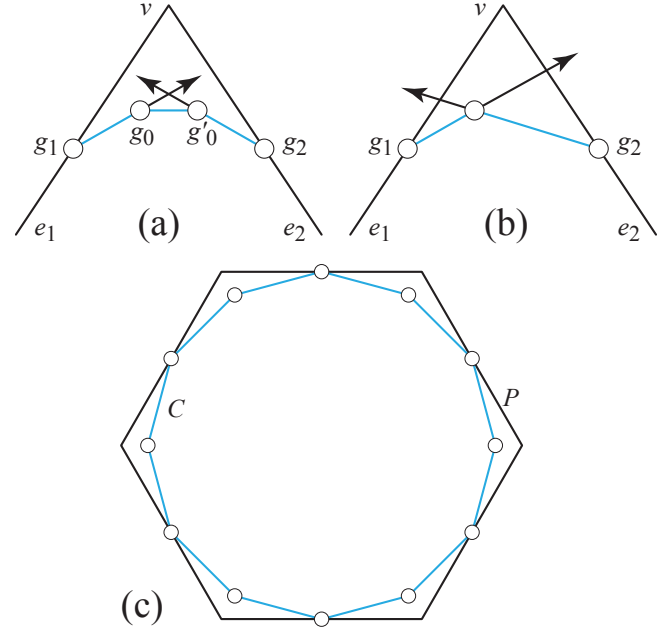


Figure 4: (a)  $g_0$  and  $g'_0$  create intersecting dark rays in  $P$ . (b)  $v$  cannot be a darkened vertex. (c) The upper bound  $g^* = 2n$  can be achieved.

There are at most  $2n - 2$  triangles in this triangulation. By Lemma 3, there is at most one extra guard in each triangle, for a total of at most  $2n + (2n - 2) = 4n - 2$  guards, *so long as* we rule out the exceptional case of Lemma 3 where a triangle of guards can contain two extra guards. But that exception only happens when one of the extra guards is on  $\partial P$ , and all the guards on  $\partial P$  were already included in  $G^*$ .  $\square$

### 3 Lower Bound

The challenge is to locate  $g = 4n - 2$  guards so that there are no 2-dark points in  $P$ , thus proving the lower bound of Theorem 2.

We first illustrate a placement in a triangle of  $g = 10$  guards without 2-dark points, i.e., so that no two dark rays intersect inside the triangle. We then introduce the general strategy for the triangle, and hint at the strategy for convex  $n$ -gons, but proofs are deferred to Appendix A.3.

#### 3.1 $g = 4n - 2$ guards achievable for triangle

Fig. 5 illustrates a placement of 10 guards in a triangle  $P$  such that all dark-ray intersections are strictly exterior to  $P$ . Although it is difficult to verify visually, even enlarged, a calculation described in the Appendix verifies that all dark-ray intersections lie strictly exterior to the triangle. This demonstrates  $g = 4n - 2$  is achievable for triangles.

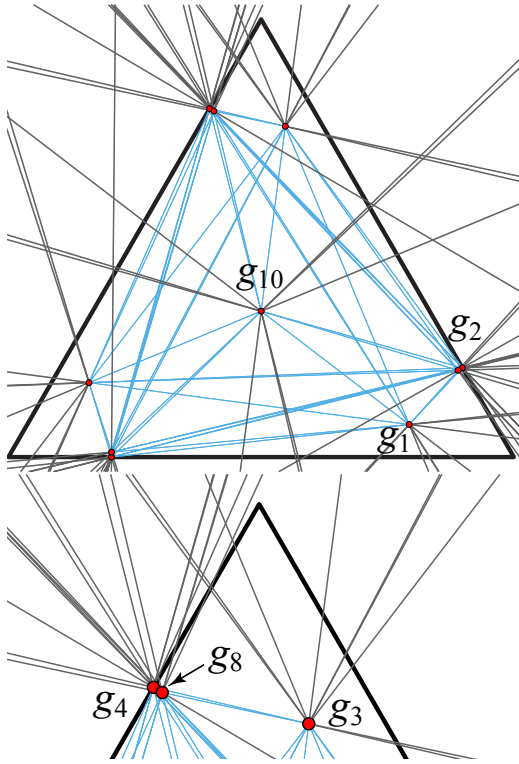


Figure 5:  $g = 10$  guards 9-covering a triangle. Apex enlargement below. Indexing follows Fig. 6.

Several features of this construction will repeat for general  $n$ -gons:

- (1)  $n$  guards are on edges of  $P$ .
- (2)  $2n$  guards are on the hull  $\partial C$  (the maximum by Lemma 4).
- (3) Three guards are placed near each vertex,
- (4) Two of the three guards near a vertex are nearly co-located.
- (5) There is one extra guard in each triangle of a triangulation of  $P$  (this is  $g_{10}$  in Fig. 5).

This construction leads to 3 guards near each of  $P$ 's  $n$  vertices, plus  $n - 2$  guards in the triangles of a triangulation, yielding  $g = 4n - 2$ . Note that the triangulation is of the  $n$ -gon  $P$ , not the  $2n$ -gon convex hull  $C$  used in the proof of Theorem 5.

**Idea of the construction in Fig. 5.** Before turning to the general construction, we first provide intuition for the triangle construction, illustrated in Fig. 6. The triangle is partitioned into six sectors with  $g_{10}$  in the center. Three guards are placed in the yellow sectors near each vertex, so that the dark rays they generate at  $g_{10}$  exit through the empty white sectors. First, two of three guards are placed as illustrated:  $g_2, g_4, g_6$  on

triangle edges, and  $g_1, g_3, g_5$  slightly inside the adjacent edges. The final three guards will be placed inside the convex hull of  $g_1, \dots, g_6$ , but their locations are tightly constrained. The guards placed so far define three dark wedges apexed at guards  $g_1, g_3, g_5$ , where the wedge apexed at  $g_i$  contains all the dark rays at  $g_i$ . The last three guards  $g_7, g_8, g_9$  are placed quite close to the even-index guards  $g_2, g_4, g_6$  so that none of their dark rays enter the dark wedges. For further explanation, see Section A.3. The construction works for any triangle: there are no shape assumptions.

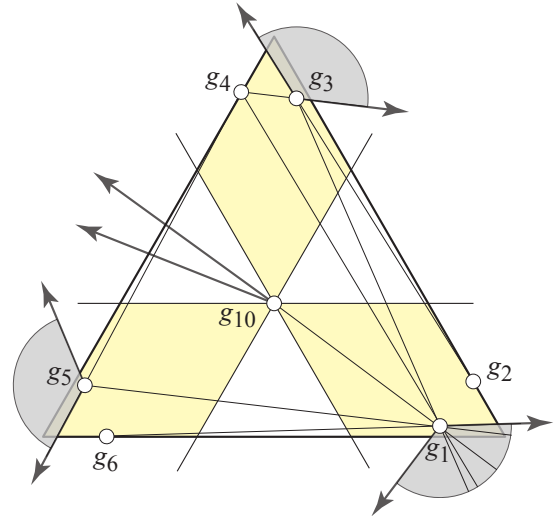


Figure 6: Dark rays from  $g_{10}$  exit through empty white sectors. Dark wedges apexed at  $g_1, g_3, g_5$  contain the dark rays from all other guards, illustrated for the  $g_1$  wedge.

The conclusion of the lower bound construction in the Appendix (Section A.3) is this theorem:

**Theorem 6** *It is possible to place  $4n - 2$  guards in a convex  $n$ -gon  $P$  so that all dark-ray intersections lie strictly exterior to  $P$ .*

Theorems 5 and 6 establish the tight bounds in Theorem 2.

## 4 Simple Polygon

We mentioned in the Introduction that the variant we are exploring—multiple coverage and guards-blocking-guards—is not a natural fit for arbitrary simple polygons. In a convex polygon  $P$ , each pair of guards sees all of  $P$  except for their dark rays, whereas in an arbitrary polygon, guard visibility is also blocked by reflexivities of  $\partial P$ .

#### 4.1 Necessity

The comb example that establishes necessity of  $\lfloor n/3 \rfloor$  guards to cover a simple polygon of  $n$  vertices, also shows the necessity of  $k \lfloor n/3 \rfloor$  guards to cover to depth  $k$ —since no guard can see into more than one spike of the comb, each of the  $\lfloor n/3 \rfloor$  spikes needs at least  $k$  distinct guards. In fact, if the comb has at least two spikes, then  $k \lfloor n/3 \rfloor$  guards also suffice. The general construction for  $k \geq 2$  is illustrated in Fig. 7 for depth  $k = 4$  and  $n = 9$ .

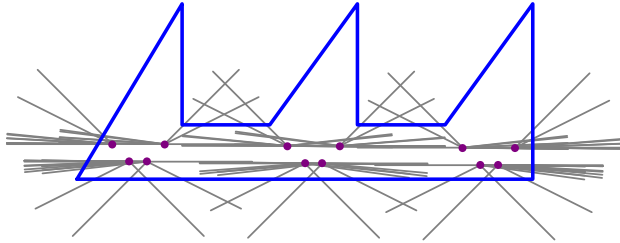


Figure 7:  $4 \cdot 3 = 12$  guards suffice to 4-cover the comb of 9 vertices.

Place  $k$  guards in a convex arc below each spike of the comb so that none of the dark rays generated by these guards enters any spike. Points in a spike are covered to depth  $k$  by the  $k$  guards below it. Although many dark rays cross in the base corridor of the comb, slight vertical staggering of the convex arcs of  $k$  guards ensures that no corridor point is at the intersection of three dark rays, which ensures coverage to depth  $k$  for  $k \geq 2$  and at least two spikes.

#### 4.2 Sufficiency

For sufficiency, we have not obtained a tight bound: To cover a simple polygon  $P$  of  $n$  vertices to depth  $k$ , we show that  $g = (k + 2) \lfloor n/3 \rfloor$  guards suffice. First triangulate  $P$ , 3-color, and choose the smallest color class, which has cardinality at most  $\lfloor n/3 \rfloor$  [Fis78]. In Fig. 8, say we select color 1. If a color-1 vertex  $v$  is convex, then define a cone  $C$  apexed at  $v$  bounded by the edges incident to  $v$ . If a color-1 vertex  $v$  is reflex, then define  $C$  to be the “anticone” at  $v$ : the cone apexed at  $v$  and bound by the extensions of the incident edges into the interior.

To cover  $P$  to depth  $k$ , place  $k + 2$  guards along a convex arc near a color-1 vertex  $v$ , and inside  $v$ ’s cone. In the figure, we aim to 3-cover and so place 5 guards in each cone. Now it is clear that the  $k + 2$  guards at color-1 vertex  $v$  see into all the triangles incident to  $v$ . These guards generate crossing dark rays, but by perturbing the locations of the guards we can avoid three dark rays meeting in  $P$ . The result is coverage to depth 2 less than the number of guards at each color-1 vertex:

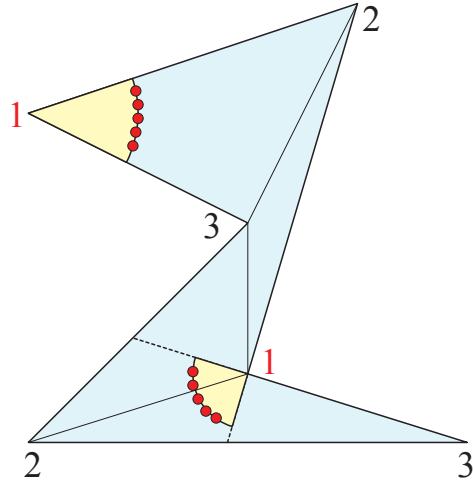


Figure 8: Cones at the color-1 reflex vertices each contain  $k + 2$  guards. Here the 5 guards achieve a 3-cover.

**Theorem 7** *To cover a simple polygon of  $n$  vertices to depth  $k$ ,  $g = k \lfloor n/3 \rfloor$  guards are sometimes necessary, and  $g = (k + 2) \lfloor n/3 \rfloor$  guards always suffice.*

#### 5 10 Guards in a Wedge

Finally, in Appendix A.5 we establish a tight bound for a wedge, which can be viewed as an unbounded 2-sided convex polygon with one vertex and two rays:

**Lemma 8** *Covering a wedge to depth  $k$  requires the same number of guards as it does to cover a triangle to depth  $k$ , except that to 3-cover requires 4 guards. In particular,  $g = 10$  guards can cover to depth 9.*

The surprising part of this result is that 10 guards can be placed in a wedge without creating 2-dark points—despite the fact that our triangle construction (see Fig. 6) fails for a wedge because it has 2-dark points just outside each triangle edge.

#### 6 Open Problems

1. Investigate bounds or the complexity (NP-hard?) of placing points in a simple polygon so that no two dark rays intersect. (As noted in Section 4, the connection between this problem and  $k$ -guarding fails for non-convex polygons.)
2. Close the simple polygon gap in Theorem 7.
3. Can the tight bound for a wedge in Lemma 8 be generalized to tight bounds for unbounded convex polygons with two rays joined by a chain of  $n - 1$  vertices and  $n - 2$  edges?

**Acknowledgements.** We benefited from suggestions of three referees.

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**A Appendix**

**A.1 4-guarding a Triangle**

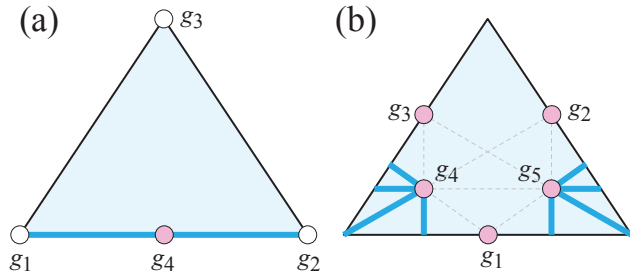


Figure 9: Five guards needed to 4-cover. (a) All strictly interior points are 4-covered, but the blue segments to either side of  $g_4$  are only 3-covered. (b) Points on the dark rays (blue segments) incident to  $g_4$  and  $g_5$  are 4-covered; all other points are 5-covered.

**A.2 Regime (2) Lemma**

**Lemma 9** Any placement of  $n + 1$  guards in a convex  $n$ -gon  $P$  results in a dark point in  $P$ .

**Proof.** If a guard  $g_0$  is strictly internal to  $P$ , then there is a dark ray at  $g_0$  generated by every other guard. So it must be that all guards are on  $\partial P$ .

View each edge of  $P$  as half-open, including its clockwise endpoint but not its counterclockwise endpoint. So the edges are disjoint and their union is  $\partial P$ . Every edge  $e$  can contain at most one guard: If  $e$  contains two or more, one,  $g_1$ , is interior to  $e$  and so there is a dark ray at  $g_1$  along  $e$ . So there can be at most  $n$  guards while avoiding dark points.  $\square$

**A.3 General Lower Bound Construction**

**Example: Square.** Before commencing with the general construction, we illustrate it with a square. Placing  $4n - 2 = 14$  guards in a square without any 2-dark points follows the same construction as with the triangle in Fig. 5: 3 guards near each vertex, and  $n - 2 = 2$  “elbow” guards  $\ell_i$  determined by a special triangulation, in this case just a diagonal of the square. See Fig. 10. Coordinates may be found in the Appendix (Section A.6).

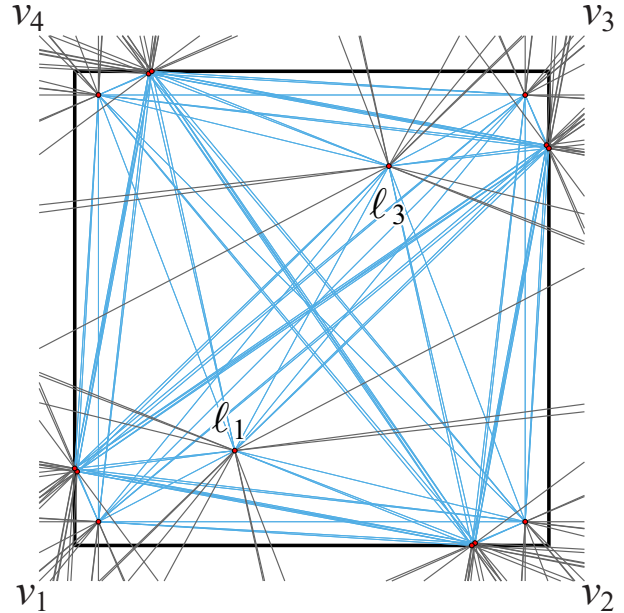


Figure 10: 14 guards covering to depth 13. Triangulation diagonal is  $v_1v_3$ . Elbow guards  $\ell_1, \ell_3$ . Vertex guards  $x_i, y_i, z_i$  near the four corners.

**Overall Construction.** The overall plan of the construction is the same as for a triangle and a square:

$3n$  guards, 3 near each vertex, plus one guard per triangle in a triangulation of  $P$  of  $n - 2$  triangles. The three guards to be placed near  $v_i$  will be called **vertex guards**. The triangulation is a **serpentine** triangulation formed by a **zigzag path** that visits all the vertices, as illustrated in Fig. 11. The single guard in each triangle will be called an **elbow guard**.

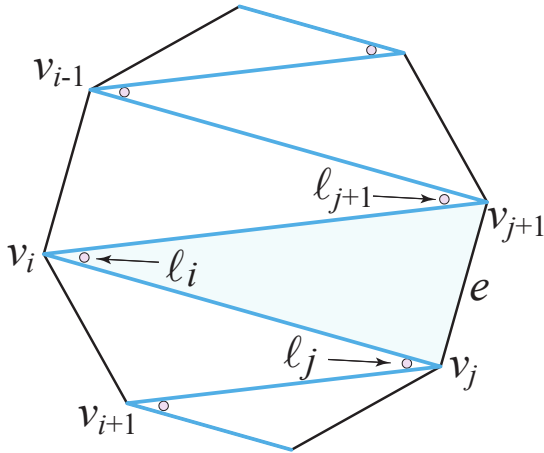


Figure 11: Zigzag triangulation and elbow guards  $l_i$ .

**Notation.** We label the vertices in counterclockwise (ccw) order:  $v_0, \dots, v_{n-1}$  with index arithmetic modulo  $n$ . Thus “before” means clockwise (cw) and “after” means ccw. Let  $v_i$  be one of the  $n - 2$  internal vertices of the zigzag path. Then  $v_i$  is the apex of a triangle  $T_i$  bounded by two edges of the zigzag path plus a **base** that is an edge of the polygon. The elbow guard of  $T_i$ , which we denote  $l_i$ , will be placed close to vertex  $v_i$ . For ease of notation, we will focus on one triangle with apex  $v_i$  and base  $v_j v_{j+1}$ . In each edge of  $P$  we place two “dividing points” that are used to separate wedges of dark rays. The dividing points adjacent to  $v_i$  are labeled  $m_i$  (on the minus (cw) side) and  $p_i$  (on the plus (ccw) side). See Fig. 12.

Note that there are two vertices of  $P$  with no elbow guard, and consequently either  $l_j$  or  $l_{j+1}$  (or both) might not exist. For example, in Fig. 10, neither  $l_2$  nor  $l_4$  exist.

**Dark-ray Wedges.** The elbow guard  $l_i$  will be located close to  $v_i$ , and  $v_i$ ’s three vertex guards even closer to  $v_i$ . We first place the elbow guards and define “safe regions” for vertex guards so that the dark rays incident to elbow guards lie in disjoint “dark ray wedges.” Exact placement of vertex guards will be described later.

Let  $e$  be the base edge of  $T_i$ ,  $e = v_j v_{j+1}$ . Then the three portions of  $e$  demarcated by  $p_j, m_{j+1}$  each are crossed by wedges of dark rays incident to elbow guards. The central portion of  $e$  is crossed by rays generated by

$v_i$ ’s vertex guards through  $l_i$  (blue). The  $v_j p_j$  segment of  $e$  is crossed by the rays at  $l_j$ , generated by all the vertex guards and elbow guards associated with vertices ccw from  $v_{i+1}$  to  $v_{j-1}$ , and symmetrically the  $m_{j+1} v_{j+1}$  segment of  $e$  is crossed by dark rays at  $l_{j+1}$ , generated by all the vertex guards and elbow guards associated with vertices ccw from  $v_{j+2}$  to  $v_{i-1}$ .

From the viewpoint of  $l_i$ , there are three dark wedges emanating from it, one crossing  $p_j m_{j+1}$  and two (shown in pink) crossing  $v_i m_i$  and  $v_i p_i$ , before and after  $v_i$ .

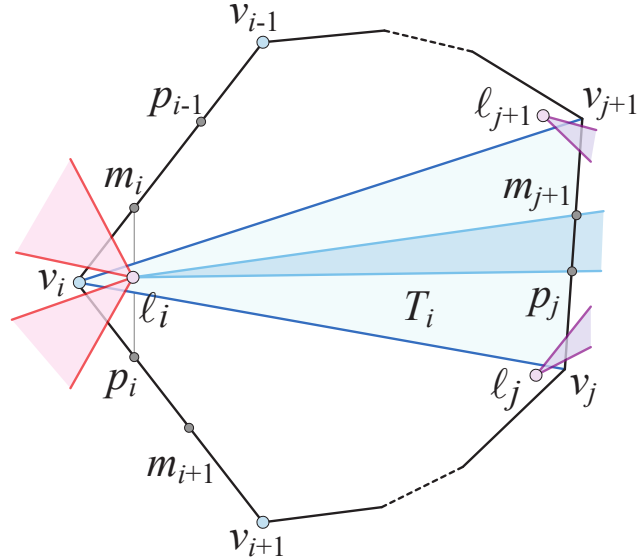


Figure 12: The dark-ray wedges that cross  $e = v_j v_{j+1}$  and the dark-ray wedges emanating from  $l_i$ .

**Locating  $l_i$ .** We now describe how to place each  $l_i$  so that the dark-ray wedges illustrated in Fig. 12 indeed contain the claimed rays, and create a “safe region” for  $v_i$ ’s vertex guards.

Place  $l_i$  at the intersection of two lines: the line  $m_i p_i$ , and the line through  $v_i$  and the midpoint of  $p_j m_{j+1}$ .

Let  $b_i$  be the point where the line through  $p_j$  and  $l_i$  exits  $P$ . Observe that  $b_i$  lies in the segment  $v_i m_i$ . Our mnemonic is that  $b_i$  is just “before”  $v_i$ . Let  $a_i$  be the point where the line through  $m_{j+1}$  and  $l_i$  exits  $P$ . Then  $a_i$  lies in the segment  $v_i p_i$ , just after  $v_i$ .

For a vertex  $v_i$  that has an elbow guard, define its **safe region**  $R_i$  to be the convex quadrilateral  $b_i v_i a_i l_i$ , which is contained in the triangle  $m_i v_i p_i$ . For a vertex  $v_i$  without an elbow guard (the first and last vertices of the zigzag path), its safe region is the triangle  $m_i v_i p_i$ . Observe that the safe regions are pairwise disjoint.

**Claim 1** *If vertex guards for  $v_i$  are placed in  $R_i$  then the dark rays incident with elbow guards lie in the wedges as specified above and do not enter the safe regions.*



**Proof.** Consider the dark rays incident to  $\ell_i$ . Since  $v_i$ 's vertex guards lie in the wedge  $a_i\ell_i b_i$ , they generate dark rays at  $\ell_i$  that lie in the complementary wedge  $m_{j+1}\ell_i p_j$ . Vertex guards and elbow guards associated with vertices ccw from  $v_{i+1}$  to  $v_j$  lie in the wedge  $p_i\ell_i p_j$  so they generate dark rays at  $\ell_i$  that lie in the complementary wedge  $m_i\ell_i b_i$  (yellow wedges in Fig. 13). Similarly vertex and elbow guards associated with vertices ccw from  $v_{j+1}$  to  $v_{i-1}$  lie in the wedge  $m_{j+1}\ell_i m_i$  so they generate dark rays at  $\ell_i$  that lie in the complementary wedge  $a_i\ell_i p_i$  (green wedges in Fig. 13).  $\square$

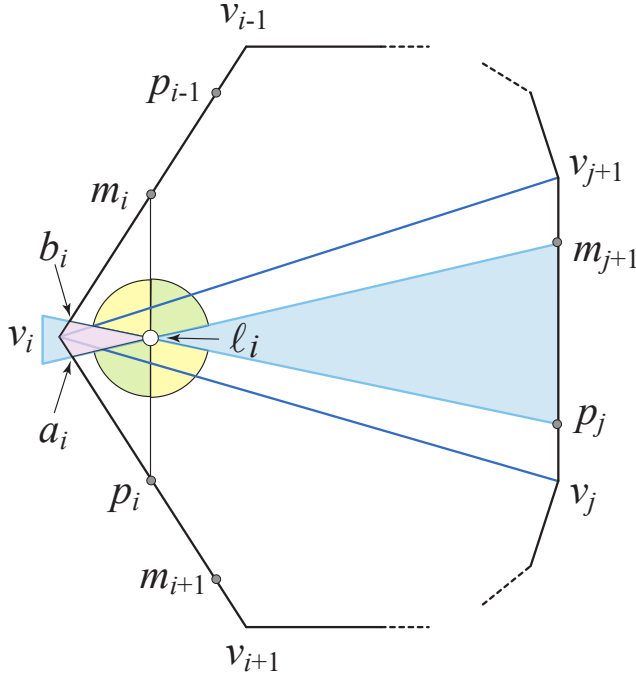


Figure 13: Constraints on locating  $\ell_i$ , and for locating vertex guards in a safe region  $R_i = b_i v_i a_i \ell_i$ .

**Locating 3 vertex guards.** Call the three  $v_i$  vertex guards  $x_i, y_i, z_i$ . We will place them in that order, inside the safe region  $R_i$ .  $x_i$  will be placed on an edge of  $P$ , and  $x_i$  and  $y_i$  will be on the convex hull  $C$  of the guards, with  $z_i$  strictly inside  $C$ .

The following construction references  $a_i$  and  $b_i$  so it applies to the case when  $\ell_i$  exists. But for a vertex  $v_i$  without an elbow guard, the same construction works with  $m_i$  and  $p_i$  in place of  $b_i$  and  $a_i$ .

Construct a triangle with apex  $v_i$  and two points on  $\partial P$  strictly inside the safe region  $R_i$ . Place  $x_i$  at the corner of this triangle on edge  $v_i v_{i-1}$ , and place  $y_i$  on the base of the triangle and on the  $p_i$  side of the line  $v_i \ell_i$ . Observe that all the elbow guards are inside the resulting hull  $C$ . Because  $x_i$  is the only guard on its edge, there are no dark rays incident to  $x_i$  inside  $P$ .

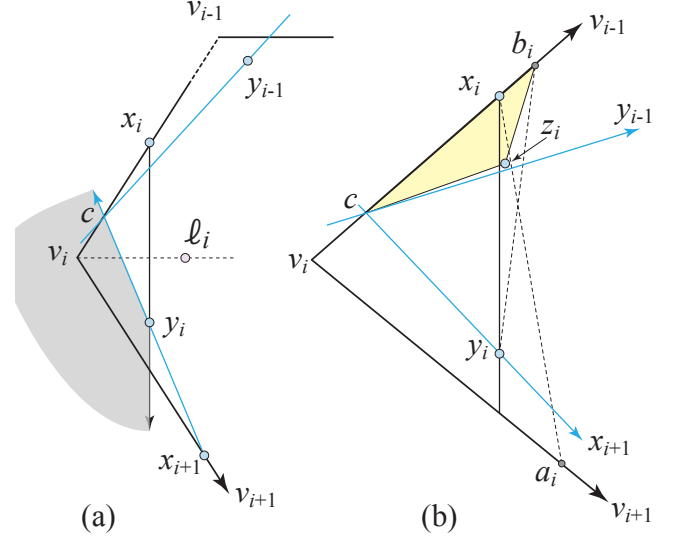


Figure 14: (a) Locating  $x_i$  and  $y_i$ . Wedge of dark rays apexed at  $y_i$  shaded. (b) Locating  $z_i$  so that dark rays incident to  $z_i$  exit  $P$  safely.

Because  $y_i$  lies on  $C$  with neighbours  $x_i$  and  $x_{i+1}$ , all the dark rays incident to  $y_i$  lie in the complementary wedge bounded by the lines  $y_i x_i$  and  $y_i x_{i+1}$ , and including  $v_i$  (gray in Fig. 14(a)). Note that no other dark rays intersect this wedge because it lies inside the safe region.

We now place  $z_i$ . Let  $c$  be the point where the line  $x_{i+1} y_i$  intersects the edge  $v_i v_{i-1}$ . See Fig. 14(a).

We will ensure that the dark rays incident to  $z_i$ —except for the one generated by  $x_i$ —lie in the wedge  $c z_i b_i$  (yellow in Fig. 14(b)). This implies that these rays do not intersect any other dark rays.

We place  $z_i$ :

1. inside  $C$ ,
2. on the  $x_i$  side of lines  $y_i b_i$  and  $y_{i-1} c$ ,
3. on the  $y_i$  side of line  $x_i a_i$ .

Observe that these constraints determine a non-empty region for  $z_i$ .

Conditions 1 and 3 ensure that the dark ray incident to  $z_i$  generated by  $x_i$  hits the edge  $v_i v_{i+1}$  in the segment between  $y_i$ 's dark wedge and  $a_i$ , so it intersects no other dark ray.

Conditions 1 and 2 ensure that, if we ignore  $x_i$ , then  $z_i$  lies on the convex hull  $C'$  of the guards, with neighbours  $y_i$  and  $y_{i-1}$ . Therefore the dark rays incident to  $z_i$  lie in the complementary wedge—apexed at  $z_i$  and exterior to  $C'$ —which lies inside the wedge  $b_i z_i c$ , as required.

We note that, although our construction places guards quite close together, the coordinates have polynomially-bounded bit complexity, since we used a finite sequence of linear constraints. By contrast, irra-

tional coordinates may be required for the conventional art gallery problem in a simple polygon [AAM21].

Note that at no point do we rely on the metrical properties of  $P$ , so the construction works for all convex polygons:

**Theorem 6** *It is possible to place  $4n - 2$  guards in a convex  $n$ -gon  $P$  so that all dark-ray intersections lie strictly exterior to  $P$ .*

To repeat our earlier claim, Theorems 5 and 6 establish the tight bounds in Theorem 1.

#### A.4 General Position Guards

At several junctures we claimed we can avoid 3-dark points inside  $P$  by perturbing the guard locations to be in “general position.” Although this follows from general perturbation results, we give a straightforward inductive construction.

We show how to place  $g$  guards in a specified open region of the plane (a convex polygon in regime (3), or near the vertex of a vertex cone in the situation of Section 4) while avoiding 3-dark points anywhere in the plane.

Place the guards sequentially. After placing  $i$  guards, let  $\mathcal{A}_i$  be the arrangement of lines determined by: (a) pairs of guard points; and (b) a guard point and a 2-dark point at the intersection of two dark rays. (For  $i \leq 3$  noncollinear guards, there are no 2-dark points.) Place the  $(i+1)$ -st guard at any point in the open region not on a line of  $\mathcal{A}_i$ . This is possible since the region is open. Note that this avoids three collinear guards and also avoids three dark rays crossing. Now update the arrangement to  $\mathcal{A}_{i+1}$  and repeat.

#### A.5 10 Guards in a Wedge

Define a *wedge* as the region of the plane bounded by two rays from a convex vertex  $a$ , i.e., a cone with apex  $a$ . The connection between  $k$ -guarding and dark points (Observation 1) still holds, and the main issue is the analogue of Theorem 2—what is the maximum number of guards that can be placed in a wedge without creating 2-dark points? For a triangle, the bound is  $4n - 2 = 10$ . In this section we prove that the same bound holds for a wedge.

The upper bound of 10 is easy: If we could place 11 guards in a wedge without 2-dark points, then we could simply cut off the empty part of the wedge to create a triangle with 11 guards and no 2-dark points, a contradiction to the Theorem 5 upperbound.

However, the lower bound of 10, i.e., a placement of 10 guards without 2-dark points, does not carry over from our triangle construction, because there were dark ray intersections beyond every edge of the triangle. Nevertheless, we now show this bound is tight, with the

example illustrated in Figs. 15 and 16. We number the guards from bottom to top. Here is a description of the construction:

- $g_1$  is directly below the apex  $a$ , and far below.
- $g_2$  is slightly left of  $g_1$ , so that the upward dark ray at  $g_2$  exits the wedge at a particular “safe” spot between  $g_7$  and  $g_{10}$ .
- Guard pairs  $g_3, g_4, g_5, g_6, g_7, g_8$  are symmetrically placed with respect to a vertical line  $L$  through  $a$ .
- Guards  $g_7, g_8$  are located on the two edges of the wedge.
- $g_{10}$  is on  $L$  near  $a$ , while  $g_9$  is right of  $L$ .
- There are six guards on the convex hull  $C$  of the guards:  $\{g_1, g_3, g_7, g_{10}, g_8, g_4\}$ .
- $g_5, g_6$  are just slightly inside  $C$ .

We provide coordinates for the guards in Appendix A.6, and have verified that there are no 2-dark points in the wedge.

Note that this construction provides an alternative arrangement of guards for a triangle: Introduce a triangle edge  $bc$  below  $g_1$ , and apply an affine transformation to  $\triangle abc$  to match Fig. 15.

We summarize the implications for  $k$ -guarding a wedge in this lemma.

**Lemma 8** *Covering a wedge to depth  $k$  requires the same number of guards as it does to cover a triangle to depth  $k$ , except that to 3-cover requires 4 guards. In particular,  $g = 10$  guards can cover to depth 9.*

**Proof.** If  $k \leq 2$ , a guard at the one vertex, or one guard on the interior of each edge, suffices. However, any placement of 3 guards creates a dark point in the wedge, so for  $k \geq 3$ , at least  $k+1$  guards are needed to  $k$ -guard. For  $k \leq 9$ , the configuration just described shows that  $k+1$  guards suffice—this covers the middle regime. For  $k \geq 10$ ,  $g = k + 2$  guards are needed and suffice, from Observation (3) in Section 1.1 and its explanation in Section A.4.  $\square$

#### A.6 Guard Coordinates

We include here explicit coordinates for guards in a triangle, a square, and a wedge. In all cases, Mathematica code has verified that dark-ray intersections are strictly exterior.

Coordinates for 10 guards in an equilateral triangle, Fig. 5. Triangle corners are  $(0, 200), (\pm 100\sqrt{3}, -100)$ . Guard locations for the other  $g_i$  are symmetrical placements following Fig. 6.

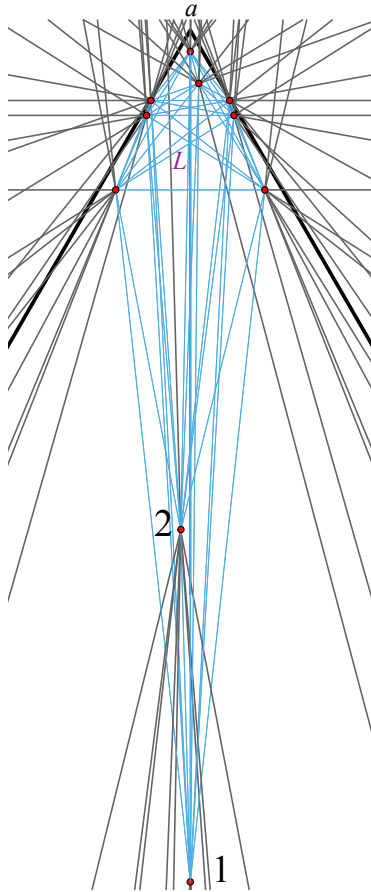


Figure 15: Wedge apex  $a$ , 10 guards with no 2-dark points.

$g_i$	$x,$	$y$
5	-102.57,	-96
6	-102.6,	-100
7	-118,	-49
10	0,	0

Coordinates for 14 guards in a square, Fig. 10. Square corner coordinates  $(\pm 200, \pm 200)$ . Guard locations  $g_6, \dots, g_{14}$  are symmetrical placements of  $g_3, g_4, g_5$ .

$g_i$	$x,$	$y$
1	-65,	-120
2	65,	120
3	-180,	-180
4	-198,	-137.7
5	-200,	-135

Coordinates for 10 guards in a wedge, Figs. 15 and 16. Apex at  $(0, 200)$ , apex angle  $\pi/3$ . Guard locations  $g_4, g_6, g_8$  are symmetrical placements of  $g_3, g_5, g_7$ .

$g_i$	$x,$	$y$
1	0,	-600
2	-9,	-270
3	-70,	50
4	70,	50
5	-41,	120
6	41,	120
7	-38.1,	134
8	38.1,	134
9	8,	150
10	0,	180

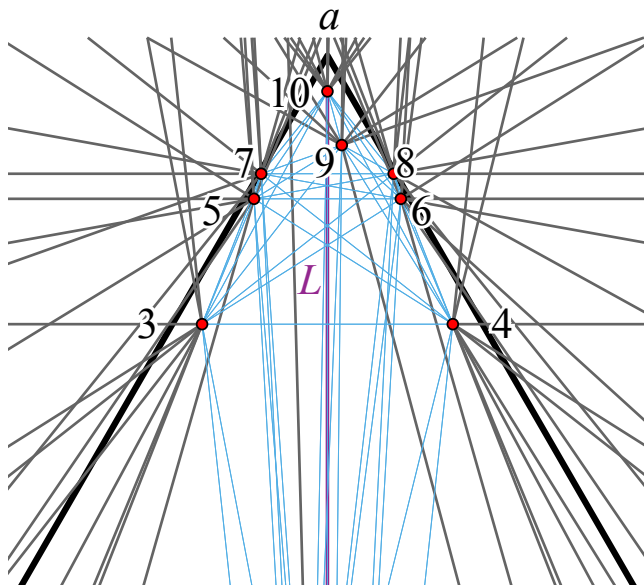


Figure 16: Closeup of upper portion of Fig.15.